



Binary Black Holes: Deeper, Wider, Sharper

Astro2020 Science White Paper

DEEPER, WIDER, SHARPER: NEXT-GENERATION GROUND-BASED GRAVITATIONAL-WAVE OBSERVATIONS OF BINARY BLACK HOLES

Thematic Areas:

- Formation and Evolution of Compact Objects
- Stars and Stellar Evolution
- Multi-Messenger Astronomy and Astrophysics

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Gravitational-wave discovery space for black holes

The Advanced LIGO gravitational-wave (GW) detector network began observations in 2015 [1]. Since then, the first two observing runs (later including Advanced Virgo) have yielded the discovery of ten binary black hole (BBH) systems and one binary neutron star system [2]. Already these detections have revolutionized astrophysics of stellar-mass black holes (BHs) [3, 4], provided new tests of general relativity [5–9], and launched the field of multimessenger GW astronomy [10, 11].

Through to the end of the next decade, this detector network will continue to be enhanced as sensitivities reach design goals and new detectors come online [12]. In the BBH domain, we will be able to detect a pair of $10M_{\odot}$ BHs out to a redshift of $z \simeq 1$ [12]. The annual BBH detection rates are forecast to be several hundreds of mergers and science benefits will compound through accumulated observing time and growing detected samples [13–18].

Beyond this horizon, step-wise sensitivity improvements with the *next generation of ground-based GW observatories* will be required if we are to pursue major science questions that cannot be answered by the current and near-term GW facilities [e.g., 19, 20]. Current-generation GW detectors are able to provide constraints on the merger-rate densities in the local Universe and approximate distributions of component masses [4]; however, precise measurements of, for example, spin magnitudes and tilts are of paramount importance to understand their origin and the evolutionary physics of the binary system [13, 15, 16, 21–23]. This information is essential to obtain insights on the formation channels of compact binaries. While instrumental designs are an active area of research, we highlight here *how next-generation GW ground-based detectors will enable us to survey deeper, to observe a wider range of frequencies, and to make more precise physical measurements and will transform the study of BBH astrophysics.*

Next-generation GW observations will uncover BBHs throughout the entire Universe back to the beginning of star formation, and will detect new source types (if they exist) beyond stellar-mass binaries, such as intermediate-mass black holes.

- **Discover binary black holes throughout the observable Universe.** What is the merger rate as a function of redshift to the beginning of the reionization era, and how does it correlate with massive star formation, metallicity, and galaxy evolution?
- **Reveal the fundamental properties of black holes.** What are the mass and spin demographics of black holes throughout the Universe, are they correlated, and do they evolve with redshift? What do they reveal about the formation and evolutionary origin of BBHs?
- **Uncover the seeds of supermassive black holes.** Do intermediate-mass black hole mergers occur in nature, and can such black holes serve as the long sought seeds of supermassive black holes? Is there a single thread which connects the formation of stellar-mass and supermassive black holes?

Deeper – A survey of black holes throughout cosmic time

With a next-generation GW detector network, for the *first time*, we will detect BH mergers at redshifts beyond $z \sim 1$ and we will measure the evolution of the BBH merger rate out to redshifts of $z \gtrsim 10$ [12, 24, 25]: *over the entire history of the Universe*. GW astronomy would thereby gain a synoptic view of the evolution of BHs across cosmic time, beyond the peak in star-formation rate at $z \sim 2$ [26] back to the cosmic dawn around $z \sim 20$ when the Universe was only 200 Myr old.

Measurements of merger rate vs. redshift combined with measurements of the BHs’ physical properties at unprecedented accuracies will enable conclusive constraints on BBH formation channels. Stellar-origin BBH formation tracks cosmic star formation [27–30], while the density of primordial BHs is not expected to correlate with the star formation density [31, 32]; different binary channels are predicted to lead to different distributions of delay times between formation and merger [17, 33–40]. Therefore, determining the merger rate as a function of redshift provides a unique insight into the lives of BBHs. *Only next-generation GW detectors can survey the complete redshift range of merging BBHs and provide a sufficiently large catalog of detections to constrain the full BBH population and their origins.*

To capture BBH mergers across the stellar mass spectrum (up to total masses of $M \simeq 200M_\odot$) all the way back to the end of the cosmological dark ages ($z \simeq 20$), a major advance in GW detector sensitivity is required. This cannot be delivered by the maximal sensitivity planned for the current ground-based detector facilities. We quantify this sensitivity step by the *boost factor* β_{A+} relative to the LIGO A+ design [41] between 5 Hz and 5 kHz (and no sensitivity outside this range). In Figure 1, we show this boost factor, required to detect an optimally-oriented, overhead binary at a signal-to-noise ratio (SNR) of 8, as a function of the binary’s total mass and redshift.

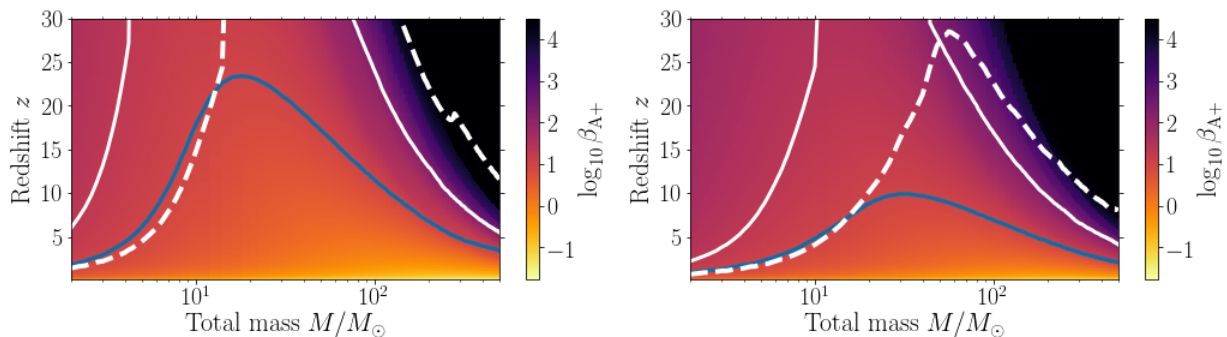


Figure 1: Color maps show the boost factor relative to the LIGO A+ design β_{A+} required to see a binary with a given total source mass M out to given redshift. The color bar saturates at $\log_{10} \beta_{A+} = 4.5$; some high-mass systems at high redshift are not detectable for any boost factor as there is no signal above 5 Hz. Panels are for mass ratios $q = 1$ (left) and $q = 0.1$ (right). The blue curve highlights the reach at a boost factor of $\beta_{A+} = 10$. The solid and dashed white lines indicate the maximum reach of Cosmic Explorer [20] and the Einstein Telescope [19], respectively; sources below these curves would be detectable.

The boost factors β_{A+} needed to acquire a complete census of BBH mergers throughout the Universe are well within the design aspirations for next-generation designs such as Cosmic Explorer [20] and the Einstein Telescope [19]; for these specific sensitivity assumptions, BBH mergers of total mass $M \sim 10\text{--}40M_\odot$ can be detected out to $z \sim 10^2$.

Observations of the cosmological distribution of coalescing binaries would complement planned electromagnetic surveys designed to study stars and stellar remnants back to cosmic dawn [42–46], as well as millihertz GW observations made by *LISA* [47], which can observe systems ranging from local stellar-mass binaries (days to years before they enter the frequency range of terrestrial detectors) [48, 49] to supermassive black hole (SMBH) systems in the centers of galaxies [50, 51]. *Athena* [52] and the mission concept *Lynx* [53] would detect SMBHs back to high redshift ($z \gtrsim 7$); *Lynx* would observe 10^3M_\odot BHs to $z \sim 5$ and 10^2M_\odot BHs to $z \sim 2$, while *Athena* would survey these in the nearby Universe. Next-generation GW detectors have the unique potential to observe

stellar-mass BH systems back to the early Universe.

Wider – Expanding the black hole mass spectrum

Electromagnetic astronomy has benefited enormously from advancing observing facilities to cover an expanded range of frequencies. These enable new probes of previous known sources, and allow for the discovery of new types of previously unobserved sources. *Next-generation GW detectors have the unique capability to push the frequency range down to $\simeq 1$ Hz and up to $\simeq 5$ kHz*, while improving performance across the band in between.

The merger frequency for a coalescing binary scales inversely with the mass of the binary, hence observing at lower frequency opens up the potential of detecting more massive BHs. Reaching down to frequencies of $\simeq 1$ Hz is the most robust means to prove the existence of intermediate-mass black holes (IMBHs) in binaries with masses in excess of $100M_{\odot}$ [54, 55]. The discovery of IMBHs [56, 57] would be uniquely impactful: these could be formed through dynamical processes in star clusters [58, 59] or from the collapse of massive metal-poor stars [60–62], and may potentially be the seed BHs which grow into SMBHs [63–66]. SMBHs are observed up to redshift $z = 7.54$ [67] as quasars, at lower redshifts as active galactic nuclei [68], and today in massive galaxies in their quiescent state [69], and cover a mass range from $\sim 10^4M_{\odot}$ [70–73] up to $> 10^{10}M_{\odot}$ [74–76]. Determining the seeds of SMBHs will help us chart how they grow, and hence the role they play in the evolution of their host galaxies [77–80]. In particular, the observation of high-redshift BHs with mass $\gtrsim 100M_{\odot}$, beyond the (pulsational) pair-instability mass gap [81–85], would be key to understand not only the properties of very massive ($\gtrsim 250M_{\odot}$) metal-poor stars [86], but also the assembly of the first massive BHs in the Universe [87].

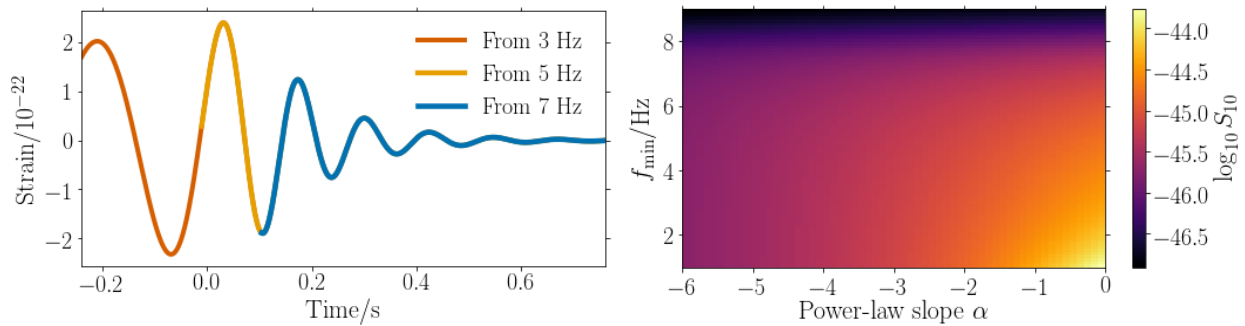


Figure 2: **Left:** The waveform from the final stages of inspiral, merger and ringdown of a $100M_{\odot} + 100M_{\odot}$ BBH at a redshift of $z = 10$. Highlighted is the time evolution of the waveform from 3, 5 and 7 Hz. **Right:** Requirements on the low-frequency noise power spectrum $S_n(f)$ necessary to detect an overhead, face-on $100M_{\odot} + 100M_{\odot}$ BBH merging at $z = 10$. We assume a power-law form $S_n(f) \propto f^{\alpha}$ extending down to a minimum frequency f_{\min} with the specified normalization S_{10} at $f = 10$ Hz.

In Figure 2, we illustrate the importance of sensitivity in the 1–10 Hz regime. Even with detectors sensitive to 3 Hz, we see only one cycle of a $100M_{\odot} + 100M_{\odot}$ circular binary with non-spinning components at $z = 10$ before merger. This system is not observable above 10 Hz. Therefore, the objective to observe the most massive stellar-origin BBHs and the potential seeds of SMBHs early in the Universe requires new detectors sensitive to currently inaccessible frequencies below ~ 10 Hz, which are inaccessible to current detectors.

The detectability of IMBHs places requirements on low-frequency sensitivity. We can model the low-frequency noise power spectral density of the detector as a power-law $S_n(f) = S_{10}(f/10 \text{ Hz})^\alpha$ and assume that the power law extends to some minimal frequency f_{\min} , below which the detectors have no sensitivity. In Figure 2, we show the combination of power law α , minimum frequency f_{\min} and the normalisation S_{10} necessary to detect an optimally located and oriented merger of two $100M_\odot$ IMBHs at $z = 10$. There is a trade-off between the power-law slope, minimal frequency, and overall normalization, such that a range of specifications can fulfil the science requirements.

For binaries in the currently detectable mass range, observing across a broader range of frequencies gives a more complete picture of their properties. The precession of component spins misaligned with the orbital angular momentum occurs over many orbits [88, 89]. Its imprint is easier to discern over longer inspirals, and hence becomes more apparent with low-frequency data. Orbital eccentricity is rapidly damped through GW emission [90]. This means that it is near unmeasurably small for current GW detectors [91]; however, by monitoring the earlier parts of inspiral, it will be easier to detect traces of eccentricity. Both the spins and the orbital eccentricity are indicative of the formation channel; enabling their measurement for large samples will have a transformative effect on our ability to answer questions about BBH origins.

Sharper – High-precision measurements of binary properties

Both the sensitivity and the bandwidth of next-generation detectors will enable high-precision measurements of the properties of individual binaries [92–94]. Parameter uncertainties are inversely proportional to the SNR [95]. The increase in SNR made possible by the increased sensitivity will lead to exquisite measurements of the loudest events. Increased bandwidth enables the coalescence to be tracked for a longer time, improving estimates of quantities like the spins. Masses, spins, merger redshifts, orbital eccentricities and (where possible) associations with host galaxies all give complementary insights into binary physics. High-precision measurements of individual systems allow us to make detailed studies of their origins and fundamental physics [96–99]. Combining many events together lets us study the properties of the population. *The unique and critical advantage of GW BBH observations with next-generation detectors is the combination of high-precision measurements for a very large number of detected sources*, something that cannot be delivered by the current detectors.

As an example, consider a highly precise reconstruction of the BH mass spectrum. At high masses, there is predicted to be a gap between $\simeq 45M_\odot$ and $\simeq 130M_\odot$ due to (pulsational) pair-instability supernova [83, 85, 100]. At lower masses, there is potentially a gap between the maximum neutron star mass and the minimum stellar BH mass [101–103]. Determining the precise bounds for these gaps would provide insight into the mechanics of supernova explosions [104–106] and insights into the neutron star equation of state [107–111]. It can be shown [112] that: (i) for the high-mass gap, if the desired accuracy on the mass gap boundary measurement is $\sigma_g \sim 1M_\odot$, with a conservative individual mass uncertainty for near-threshold detections of order $\sigma_m \sim 10M_\odot$, $N \gtrsim 500$ detections are required; (ii) for the low-mass gap, $\sigma_g \sim 0.3M_\odot$ and $\sigma_m \sim 3M_\odot$, which would require $N \gtrsim 1500$ BBH detections. To provide robust answers to questions regarding massive star evolution and BBH formation, we need to trace the dependence of the boundaries of the mass gaps on metallicity and hence redshift. Therefore, it is desirable to observe ~ 1000 sources in each redshift bin of width $\Delta z = 0.1$, since we may expect knowledge of the star formation rate and metallicity distribution at this resolution on the timescale of next-generation detectors [26].

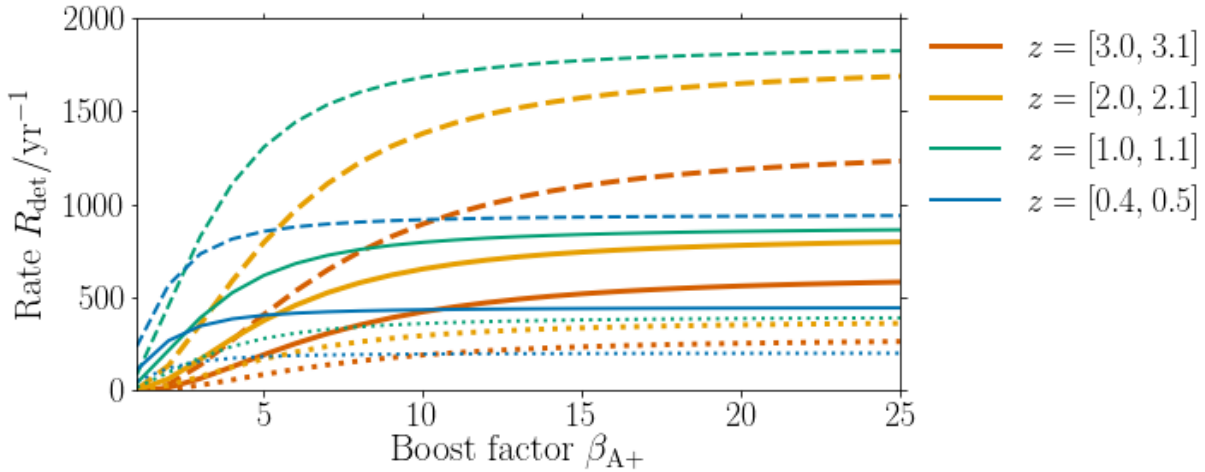


Figure 3: Expected rate of BBH detections R_{det} per redshift bin as a function of $A+$ boost factor β_{A+} , for $z = [0.4, 0.5]$, $z = [1, 1.1]$, $z = [2, 2.1]$, $z = [3, 3.1]$. Constant BBH merger rate densities of 53 (112, 24) $\text{Gpc}^{-3} \text{yr}^{-1}$ are shown with solid (dashed, dotted) curves, assuming equal component masses distributed according to $p(m) \propto m^{-1.6}$ [4].

Observing 1000 sources in a given redshift bin would provide $\sim 3\%$ fractional accuracy on merger rate per redshift bin, sufficient to determine the redshift evolution of the merger rate, and constrain details of binary evolution at that redshift [17, 18].

With this in mind, we plot the number of expected BBH detections for a next-generation detector as a function of its boost factor relative to $A+$ in Figure 3. This assumes a BBH merger rate that does not evolve in redshift and is roughly consistent with current GW observations [4]. From this, the target of ~ 1000 detections per redshift bin is achievable with boost factors of $\beta_{A+} \sim 10$ after only 2 years of observing time. These factors are possible *only* with next-generation GW detectors.

Outlook for black hole gravitational-wave astronomy

Next-generation ground-based GW detectors fulfilling the scientific objectives described here will enable the measurement of the cosmological evolution of the mass and spin distributions of BBHs and will allow us to probe their dependence on star formation history and metallicity evolution with redshift. With sensitivity increases by factors of ~ 10 we will be able to probe the *complete mass spectrum of BHs formed in merging binaries*. Such detectors will enable the robust discovery of IMBHs, if they exist, and will allow us to measure the boundaries of any mass gaps. The precise measurements of physical properties for large numbers of BH systems back to the cosmic dawn would lead to constraints on the physics of massive star evolution in single and binary systems (in connection to massive stellar winds, uncertain phases of binary interactions, as well as core-collapse supernovae and associated natal kicks), as well as to constraints on different formation channels of merging BH binaries. The potential of also revealing the nature of seed BHs for SMBHs through the unique, independent perspective of GW observations is exciting. Such data would complement those from future electromagnetic and space-based GW observatories, enabling the maximum scientific return from these facilities. Next-generation GW detectors offer a unique opportunity to advance the frontiers of stellar astrophysics, the fundamental physics of compact objects, and multimessenger astronomy.



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